

DAYANAND ANGLO VEDIC PUBLIC SCHOOL, AIROLI
TERMINAL EXAMINATION 2023-2024
SKILL SUBJECT: INTRODUCTION TO FINANCIAL MARKET (SUBJECT CODE-405)
SAMPLE PAPER

STD: X
DATE: 29 /08/2024

MKS: 50
DURATION: 2Hrs

General Instructions:

1. Please read the instructions carefully.
2. This Question Paper consists of 21 questions in two sections: Section A & Section B.
3. Section A has Objective type questions whereas Section B contains Subjective type questions.
4. Out of the given (5 + 16) 21 questions, a candidate has to answer (5 + 10) 15 questions in the allotted (maximum) time of 2 hours.
5. All questions of a particular section must be attempted in the correct order.
6. **SECTION A - OBJECTIVE TYPE QUESTIONS (24 MARKS):**
 - i. This section has 05 questions.
 - ii. Marks allotted are mentioned against each question/part.
 - iii. There is no negative marking.
 - iv. Do as per the instructions given.
7. **SECTION B – SUBJECTIVE TYPE QUESTIONS (26 MARKS):**
 - i. This section has 16 questions.
 - ii. A candidate has to do 10 questions.
 - iii. Do as per the instructions given.
 - iv. Marks allotted are mentioned against each question/part

SECTION A: OBJECTIVE TYPE QUESTIONS

Q. 1	Answer any 4 out of the given 6 questions on Employability Skills (1 x 4 = 4 marks)	
i)	How to overcome barriers to effective communication a) Easy language b) Form assumptions before communication c) Both a & b d) None of the above	1
ii)	Which of these is not a technique to manage stress a) Time management b) Healthy diet c) Fight d) Physical exercise	1
iii)	What are the different types of sentence a) Declarative b) Imperative c) Interrogative d) All of the above	1
iv)	What enable you to perform a particular job or task with considerable proficiency is ____ a) Interest b) Ability c) Both a & b d) None of the above	1
v)	Which is not a part of communication a) Sender b) Receiver c) Noise d) Feedback	1

vi)	Which of these are qualities of a self-motivated man a) Focus b) Dedicated c) Know what they want from life d) All of the above	1
Q. 2 Answer any 5 out of the given 6 questions (1 x 5 = 5 marks)		
i)	When was Securities Contracts Regulation Act (SCRA) created a) 1956 b) 1955 c) 1992 d) 1994	1
ii)	What care should one take while investing? a) obtain written documents explaining the investment b) Read and understand such documents c) Verify the legitimacy of the investment d) All of the above	1
iii)	Securities can be issues at _____ a) Face value b) Premium c) Discount d) All of the above	1
iv)	“A demutualised exchange, on the other hand, has all these three functions clearly segregated” which of this is not a function a) Ownership b) Management c) Transportation d) Trading	1
v)	Who are the regulators of the stock market? a) SEBI b) DEA c) DAC ONLY a & b	1
vi)	What is premium on issue of securities? a) When the securities are issued at face value b) When the securities are issued above the face value c) When the securities are issued below the face value d) All of the above	1
Q. 3 Answer any 5 out of the given 6 questions (1 x 5 = 5 marks)		
i)	A bond is generally a promise to repay the principal along with a fixed rate of interest on a specified date. Date is also called as _____ a) Registration date b) Maturity date c) Both a & b d) None of the above	1
ii)	What are the segments in the security market? a) Primary market b) Secondary market c) Both a & b d) Neither a nor b	1
iii)	What is the full form of IPO a) Invested public offer b) Interested in planned opportunity c) Initial public offer	1

	d) None of the above	
iv)	What is contract note? a) confirmation of trades b) legally enforceable relationship between the client and the trading member c) helps to settle disputes d) all of the above	1
v)	What is an index? a) shows how a specified portfolio of share prices are moving in order to give an indication of market trends b) It is a basket of securities and the average price movement of the basket of securities c) Both a & b d) Neither a nor b	1
vi)	Who are the participants in the securities market a) the issuers of securities b) investors in securities c) the intermediaries d) all of the above	1
Q. 4	Answer any 5 out of the given 6 questions (1 x 5 = 5 marks)	
i)	The contract note inter-alia should have following a) Dealing Office Address b) Client name/Code Number. c) Both a & b d) Either a or b	1
ii)	When was sebi created a) 1991 b) 1992 c) 1993 d) 1994	1
iii)	What is preferential issue? a) Issue of shares to a select group of persons b) Private placement c) Both a & b d) None of the above	1
iv)	What is a mutual fund? a) funds operated by an investment company which raises money from the public b) Invests in a group of assets (shares, debentures etc.), in accordance with a stated set of objectives c) substitute for those who are unable to invest directly in equities or debt because of resource, time or knowledge constraints d) all of the above	1
v)	What is the maximum brokerage that a broker can charge? a) 2% b) 2.5% c) 3% d) 3.5%	1
vi)	What are the types of public issue? a) IPO b) FPO c) Both a & b d) None of the above	1
Q. 5	Answer any 5 out of the given 6 questions (1 x 5 = 5 marks)	
i)	Who are intermediaries in the stock market? a) Brokers b) Merchant bankers	1

	c) Government d) Both a & b	
ii)	How to know if the broker or sub broker is registered? a) By checking his previous transaction b) By checking his SEBI registration id c) By checking his adhar card d) All of the above	1
iii)	What is the floor price in case of book building? a) Cut off price b) Floor price c) Price band d) All of the above	1
iv)	Which of these is not a long term financial investment a) Post office savings b) Bonds c) PPF d) SEBI	1
v)	What is minimum number of days for which a bid should remain open during book building? a) 2 days b) 3 days c) 4 days d) 5 days	1
vi)	Which of them is not a financial asset? a) Gold b) Debentures c) Land d) Gold	1

SECTION B: SUBJECTIVE TYPE QUESTIONS

Answer any 3 out of the given 5 questions on Employability Skills (2 x 3 = 6 marks)

Answer each question in 20 – 30 words.

Q6.	Give examples of the different types of communication	2
Q7.	Mention any 2 steps for effective time management	2
Q8.	Mention any 2 barriers to communication	2
Q9.	Write a short note on self motivation	2
Q10.	Give the disadvantage of verbal communication.	2

Answer any 4 out of the given 6 questions in 20 – 30 words each (2 x 4 = 8 marks)

Q11.	What are value stocks?	2
Q12.	What is a price band?	2
Q13.	Mention any 2 functions of SEBI	2
Q14.	What is an index?	2
Q15.	Write a short note on shares.	2
Q16.	Mention any 2 organisation responsible for regulation of stock market	2

Answer any 3 out of the given 5 questions in 50– 80 words each (4 x 3 = 12 marks)

Q17.	Explain any 2 long term financial investment.	4
Q18.	Explain role of intermediaries in the stock market	4
Q19.	Explain a prospectus	4
Q20.	State any 4 precautions one must take before investing in the stock market.	4
Q21.	What are the merits of book building through NSE system?	4